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# Sleep duration during weekdays affects hippocampal gray matter volume in healthy children

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#### 41 Introduction

#### ABSTRACT

Sleep is essential for living beings, and sleep loss has been shown to affect hippocampal structure and function in 25 rats by inhibiting cell proliferation and neurogenesis in this region of the brain. We aimed to analyze the corre-26 lation between sleep duration and the hippocampal volume using brain magnetic resonance images of 290 27 healthy children aged 5–18 years. We examined the volume of gray matter, white matter, and the cerebrospinal 28 fluid (CSF) space in the brain using a fully automated and established neuroimaging technique, voxel-based mor-29 phometry, which enabled global analysis of brain structure without bias towards any specific brain region while 30 permitting the identification of potential differences or abnormalities in brain structures. We found that the re-31 gional gray matter volume of the bilateral hippocampal body was significantly positively correlated with sleep 32 duration during weekdays after adjusting for age, sex, and intracranial volume. Our results indicated that sleep 33 duration affects the hippocampal regional gray matter volume of healthy children. These findings advance our 34 understanding of the importance of sleep habits in the daily lives of healthy children. 35

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Although the function of sleep remains debatable, sleep has been as-42sociated with the function and structure of the hippocampus. For exam-43ple, one major theory about the function of sleep proposes that memory 44 consolidation occurs predominantly during sleep, when the hippocam-45 46 pus sends information from memory to the neocortex for permanent storage (Axmacher et al., 2009). Additionally, sleep deprivation was 47 shown to reduce the proliferation of cells and to suppress neurogenesis 48 in the hippocampus of rats (Guzman-Marin et al., 2003, 2005). Even 49 50human patients with primary insomnia showed significant reductions in hippocampal volume (Riemann et al., 2007). Although the correla-51tion between sleep and the hippocampus has been elucidated in studies 5253 on animals and on human patients and although the influence of chronic sleep loss on the cognition of healthy children has been examined 54 (Jan et al., 2010), the correlation between sleep and the hippocampal 5556volume of healthy children has not yet been clarified. Understanding 57the correlation between sleep and the hippocampus of children is espe-58cially important to identify the sleeping habits associated with the development of a healthy brain and sound cognition. Therefore, we 59

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aimed to analyze the correlation between sleep duration and the hippo- 60 campal gray matter volume using brain magnetic resonance images of 61 290 healthy children aged 5–18 years by applying voxel-based mor- 62 phometry (VBM). This approach enabled global analysis of brain struc- 63 ture and without bias towards any specific brain region while 64 permitting the identification of potential differences or abnormalities 65 in brain structures (Ashburner and Friston, 2000). We hypothesized 66 that there would be a significant positive correlation between sleep du- 67 ration and the hippocampal gray matter volume in healthy children. 68

#### Materials and methods

#### Participants

All subjects were healthy Japanese children and the detail of the recruitment is written elsewhere (Taki et al., 2010). Briefly, we collected 72 brain MR images from 290 subjects (145 boys, 145 girls; age range, 73 5.6–18.4 years) who did not have any history of malignant tumors, 74 head traumas with a loss of consciousness lasting over 5 min, developmental disorders, epilepsy, psychiatric diseases, or claustrophobia. We announced that only right-handed children can participate in this study in an advertisement used in the subject recruitment, and also confirmed that all subjects were right-handedness using the self-writing questionnaire "Edinburgh Handedness Inventory" (Oldfield, 1971). We measured full-scale intelligence quotients (IQ) by having trained 81

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Fig. 1. Histograms showing duration of sleep on weekdays (A) and duration of sleep on weekends minus that on weekdays (B) for all subjects.

examiners administer the Japanese version of the Wechsler Adult Intelli-82 83 gence Scale-Third Edition (WAIS-III) (Fujita, et al., 2006) to subjects aged 84 16 years or older or the Wechsler Intelligence Scale for Children-Third Edition (WISC-III) to subjects younger than 16 years of age (Azuma, 85 et al., 1998). The duration of sleep during weekdays and that during 86 weekends was collected using self-answering questionnaire, and the du-87 88 ration of sleep during weekdays and the duration of sleep during weekdays subtracted from that during weekends for all subjects are shown in 89 Fig. 1. We did not collect subjective sleep scales, such as sleepiness, from 90 questionnaires or visual analog scales. Instead, we regarded duration of 91 sleep during weekdays subtracted from that during weekends as the 92subjective scale of sleep. The characteristics of the subjects are shown 93 in Table 1. As per the Declaration of Helsinki (1991), written informed **O2**94 consent was obtained from each subject and his/her parent prior to MR 95image scanning after a full explanation of the purpose and procedures 96 97 of the study was provided. Approval for these experiments was obtained 98 from the Institutional Review Board of Tohoku University.

#### 99 Image acquisition

All images were collected using a 3-T Philips Intera Achieva scanner. Three-dimensional, high-resolution, T1-weighted structural images were collected using a magnetization-prepared rapid gradient-echo (MPRAGE) sequence. The parameters were as follows:  $240 \times 240$  matrix, TR = 6.5 ms, TE = 3 ms, TI = 711 ms, FOV = 24 cm, 162 slices, 1.0mm slice thickness, and scan duration of 8 min and 3 s.

#### 106 Image analysis

107 Voxel-based morphometry (VBM) with Diffeomorphic Anatomical
 108 Registration using Exponentiated Lie Algebra (DARTEL) (Ashburner,
 109 2007) was conducted. DARTEL has been shown to produce a more

alyzed using Statistical Parametric Mapping 8 (SPM8) (Wellcome De-	114
partment of Cognitive Neurology, London, UK) in Matlab (Math	115
Works, Natick, MA, USA). First, the "New Segmentation" algorithm	116
from SPM8 was applied to every T1-weighted MR image to extract	117
tissue maps corresponding to gray matter, white matter, and cerebro-	118
spinal fluid (CSF). This algorithm, which is an improvement on the	119
unified segmentation algorithm (Ashburner and Friston, 2005), uses	120
a Bayesian framework to iteratively perform the probabilistic tissue	121
classification and spatial non-linear deformation in terms of Montreal	122
Neurological Institute (MNI) space. Although we were interested only	123
in the probabilistic tissue segmentation at this point, this new Bayes-	124
ian segmentation and warping algorithm, which included an im-	125
proved set of tissue priors (Ashburner and Friston, 2009) for	126
regularization, increased the robustness and accuracy of the segmen-	127
tation over that of previous standard VBM algorithms. This step	128
allowed us to obtain probability maps of the three aforementioned	129
tissues for each subject and to have them all rigidly registered by ig-	130
noring the non-rigid part of the warping to a temporary common	131
space (which happened to be as close to the MNI space as can be	132
reached by a rigid transformation) because the subsequent DARTEL	133
step focused on estimating the "pure non-linear" component of the	134
transformation and used rigidly registered tissues as input. Next,	135
these 290 segmented tissue maps were used to create a customized,	136
more population-specific template using the DARTEL template-	137
creation tool (Ashburner, 2007). DARTEL estimates the best set of	138
smooth deformations working from every subject's tissues to their	139
common average, applies the deformations to create a new average,	140
and then reiterates the process until convergence is achieved. The	141

accurate registration than the standard VBM procedure (Klein et al., 110

2009) and enables increased sensitivity to findings such as the corre- 111

lation between gray matter volume and several measures such as age. 112

After image acquisition by MRI, all T1-weighted MR images were an- 113

1	Table 1	
	Characteristics	of subjects.

t1.

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t1.2 t1.3		Boys $(n = 144)$	Girls $(n = 146)$	Р	
t1.4	Age [years], (mean $\pm$ SD, range)	11.0±2.87, 5.6–17.1	11.6±3.35, 5.8–18.4	0.100 <sup>a</sup>	
t1.5	Full-scale IQ, (mean $\pm$ SD, range)	$104.3 \pm 13.24, 77 - 137$	$100.9 \pm 11.13, 71 - 128$	0.019 <sup>b</sup>	
t1.6	Socioeconomic status <sup>d</sup> , (mean, range)	4.04, 1–7	3.85, 1–7	0.252 <sup>c</sup>	
t1.7	Sleep duration [min] (mean $\pm$ SD, range)	$519.0 \pm 69.4, 300-660$	510.0±75.1, 300-660	0.289 <sup>a</sup>	
t1.8	Sleep subtraction <sup>e</sup> [min] (mean $\pm$ SD, range)	$23.6 \pm 61.8, -90-240$	52.3±63.6, -205270	<0.001 <sup>a</sup>	

t1.9 <sup>a</sup> Student's t-test.

t1.10 <sup>b</sup> Welch's *t*-test.

t1.11 <sup>c</sup> Mann–Whitney U-test.

<sup>d</sup> Socioeconomic status was classified as follows; annual income below 2 million yen, 1; 2–4 million yen, 2; 4–6 million yen, 3; between 6 and 8 million yen, 4, 8–10 million yen, 5; t1.12 10–12 million yen, 6; more that 12 million yen, 7.

t1.13 <sup>e</sup> Sleep subtraction was calculated by subtracting the duration of sleep during weekdays from that during weekends.

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smoothness and reversibility of the deformation are obtained from 142 the diffeomorphic properties of DARTEL transformations. The tem-143 plate space was matched to the MNI space using an affine-only regis-144 145tration, which enabled us to match our images' custom coordinate space to the more standard MNI space (Bergouignan et al., 2009). 146We used a set of standard MNI tissues maps and a multivariate 147 tissue-affinity-registration algorithm provided by SPM and DARTEL 148 for that process. At the end of the process, each subject's gray matter 149150map was warped using its corresponding smooth, reversible deformation parameters to transform it to the custom template space 151152and then to the MNI standard space. We also computed the group means and variances of all these images to visually confirm that the 153process had operated correctly by searching for a low variance near 154155major landmarks. The major advantage of creating a populationspecific template on which to register the tissues is that this approach 156 limits the amount of stretching of each image during the necessary 157 step of spatial normalization. As described by Good et al. (2001), the 158 warped gray matter images were then modulated by calculating the Ja-159cobian determinants derived from the special normalization step and 160 multiplying each voxel by the relative change in volume to obtain the 161 gray matter volume. This modulation step was performed to correct 162for volume changes in nonlinear normalization. Finally, the warped 163 164 modulated gray matter images were smoothed by convolving an 8mm full-width at half-maximum isotropic Gaussian kernel. After com-165 pleting these image analyses, we obtained smoothed modulated gray 166 matter images to be used for the statistical analysis. 167

#### 168 Statistical analysis

We used SPM8 for all statistical analyses. We performed multiple regression analysis, in which regional gray matter volume was used as a dependent variable, and age, sex, duration of sleep, and intracra-171 nial volume were used as independent variables to investigate the 172 correlation between hippocampal regional gray matter volume and 173 duration of sleep. Intracranial volume was calculated by summing 174 the gray matter, white matter, and CSF volumes derived from the 175 aforementioned imaging process. We performed region-of-interest 176 (ROI) analysis by setting the ROI of the bilateral hippocampus using 177 the "WFU\_PickAtlas" (Lancaster et al., 2000; Maldjian et al., 2003) 178 and performed small-volume correction within the ROI. We set the 179 significance level at p < 0.05 for the family-wise error rate. 180

#### Results

We found that the volume of the bilateral hippocampal body was 182 significantly positively correlated with the duration of sleep during 183 weekdays after adjusting for age, sex, and intracranial volume and 184 after performing small-volume correction of the hippocampal ROI 185 (left: t = 3.59, p = 0.014, family-wise error, corrected; right: t = 3.81, 186 p = 0.007, family-wise error, corrected), as shown in Fig. 2. The 187 whole-brain analysis showed that the duration of sleep during week- 188 days was substantially positively correlated with the regional gray 189 matter volume of the bilateral hippocampal body (left: t = 3.59, 190 p < 0.001, uncorrected; right: t = 3.81, p < 0.001, uncorrected) and 191 the right dorsolateral prefrontal cortex (t = 3.95, p < 0.001, uncor- 192 rected) after adjusting for age, sex, and intracranial volume and 193 using the liberal threshold (p < 0.001, uncorrected; cluster size 194 >100). Next, we subtracted the duration of sleep during weekdays 195 from that during weekends. Although we found a significant negative 196 correlation between the duration of sleep during weekdays and the 197 difference between the duration of weekend and weekday sleep (par- 198 tial correlation coefficient [C] = -0.300, p < 0.001, adjusting for age 199



Fig. 2. Correlations between duration of sleep on weekdays and regional gray matter volume in the region-of-interest analysis of the bilateral hippocampus. (A) Gray matter regions showing significant positive correlations between duration of sleep on weekdays and regional gray matter volume according to axial, coronal, and sagittal views. (B) Correlations between duration of sleep on weekdays and regional gray matter volume in the left hippocampus. (C) Correlations between duration of sleep on weekdays and regional gray matter volume in the right hippocampus.

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and sex), no significant correlation between regional gray matter vol ume and this difference remained after adjusting for age, sex, and in tracranial volume.

#### 203 Discussion

We demonstrated that the regional gray matter volume of the bi-204lateral hippocampus was significantly positively correlated with the 205206 duration of sleep during weekdays. Although the mechanisms underlying this significant positive correlation have not been clarified, the 207 208findings of several studies in rats and humans have supported these 209results. The generation of new neurons in dentate gyrus of the hippocampus has been confirmed in several mammals, including humans 210 211 (Eriksson et al., 1998; Gould et al., 1999). However, sleep deprivation reduced the proliferation of cells in the dentate gyrus of the hippo-212 campus (Guzman-Marin et al., 2003) and also suppressed neurogen-213 214 esis in rats (Guzman-Marin et al., 2005). Even human patients with primary insomnia showed significantly smaller bilateral hippocampal 215volumes than did good sleepers after adjusting for age and sex 216 (Riemann et al., 2007). Moreover, patients with obstructive sleep ap-217 noea, a common sleep disorder, showed significant gray matter re-218 duction in several regions such as the hippocampus (Canessa et al., 2192202011; Macey et al., 2002). In addition to these findings, the role of 221 sleep may modulate synaptic contacts by downscaling synaptic strength to a baseline level that is energetically sustainable, which is 222beneficial for maintaining plasticity for a new environment and, as a 223result, learning and memory (Tononi and Cirelli, 2006). Specifically, 224 225sleep deprivation affects hippocampal activation and decreases memory performance (Van Der Werf et al., 2009; Yoo et al., 2007). Thus, 226sleep may be necessary, at the very least, for neurogenesis and for 227 228 synaptic reorganization in the human hippocampus.

229We did not find a significant correlation between regional gray 230matter volume and the difference between the duration of sleep dur-231ing weekdays and that during weekends. A recent study proposed that the quality and the duration of sleep should be regarded as two 232separate domains - the subjective and objective, respectively - even 233 though these domains do overlap to some extent (Dewald et al., 234 235 2010). As mentioned above, because the duration of sleep constitutes an objective aspect of sleep, we also analyzed the correlation between 236regional gray matter volume and the subjective (qualitative) aspect of 237sleep using the difference between weekday and weekend sleep du-238239ration. Our rationale was as follows. Given that school starts at about 8:30 AM on weekdays in Japan, weekday times of awakening 240 are determined by school schedules; however, most Japanese chil-241 242 dren do not attend school during weekends. Thus, if the quality of sleep were low during the week, we would expect children to awaken 243244 later during weekends. For this reason, the subtraction process described above was employed to reflect the quality of sleep (a subjec-245tive aspect) during weekdays. The absence of a significant correlation 246between regional gray matter volume and the result of this calcula-247tion indicated that hippocampal volume is more crucial for the objec-248249tive than for the subjective aspect of sleep. Thus, more sleep may be 250more beneficial for the hippocampus irrespective of the subjective aspects of sleep. However, we cannot conclude that excessive sleep 251would have a positive effect on hippocampal and cognitive function-252ing given that the number of the subjects demonstrating excessive 253254amounts of sleep was rather small, as shown in Fig. 1. Indeed, the mean sleep duration of subjects in this study was 8.57 h, which ap-255proximates empirical evidence showing that children and adoles-256cents require, on average, approximately 9 h of sleep per night 257(Mercer et al., 1998). Additionally, the mean difference between the 258duration of sleep during weekends and that during weekdays was 25938 min in favor of weekends, which we evaluated as rather small. 260Thus, we believe that the duration of sleep obtained by the subjects 261 in this study was generally appropriate, and we concluded that suffi-262263 cient, but not excessive, sleep is beneficial for the hippocampus.

We found that the regional gray matter volume of the right dorso- 264 lateral prefrontal cortex had a substantial positive correlation with 265 the duration of sleep during weekdays in the whole-brain analysis. 266 Although we did not find the mechanism of the correlation, it is 267 thought that maturational pattern of the dorsolateral prefrontal cor- 268 tex is a plausible mechanism to account for the correlation. Post- 269 mortem studies of human brains showed that the time course of 270 synaptogenesis was earlier in the visual cortex and auditory cortex 271 than in the prefrontal cortex (Huttenlocher, 1979; Huttenlocher and 272 Dabholkar, 1997; Huttenlocher et al., 1982). In addition, synapse 273 elimination starts earlier in the visual cortex than in the auditory cor- 274 tex, and that in the prefrontal cortex starts later than in both of the 275 former regions (Huttenlocher and Dabholkar, 1997). These results 276 suggest that brain maturation starts in the occipital lobe, and then 277 moves to the temporal lobe, followed by the prefrontal cortex. In ad- 278 dition, recent neuroimaging studies have shown that brain gray mat- 279 ter maturation progresses with an increase in volume followed by a 280 decrease in volume (Courchesne et al., 2000; Giedd et al., 1999; 281 Gogtay et al., 2004; Shaw et al., 2008), which is thought to be related 282 to synaptogenesis and synaptic elimination (Huttenlocher and 283 Dabholkar, 1997) and with intracortical myelination (Paus, 2005), 284 and the prefrontal cortex is known as one of the latest maturing re- 285 gions (Gogtay et al., 2004). Because the period corresponding to the 286 highest gray matter volume and brain perfusion of the prefrontal cor- 287 tex is around adolescence (Gogtay et al., 2004; Taki et al., 2011), the 288 dorsolateral prefrontal cortex may be especially affected by sleep pat- 289 tern from the childhood to adolescence. Because the dorsolateral pre- 290 frontal gyrus is involved in higher cognitive functions, such as 291 working memory (Baddeley, 2003; Klingberg, 2006) and executive 292 function (Kramer et al., 2007; Zimmerman et al., 2006), sufficient 293 sleep is thought to be important for several cognitive functions. 294

The present study had limitations. First, this is a cross-sectional 295 study. Thus, although we have shown a relationship between sleep 296 duration and hippocampal gray matter volume, we cannot clarify a 297 causal relationship between sleep and hippocampal gray matter vol- 298 ume. Longitudinal studies are needed to clarify this issue. Second, as 299 for the subjective sleepiness, we evaluated the subjective (qualita- 300 tive) aspect of sleep using the difference between weekday and 301 weekend sleep duration; however, we did not collect data on subjec- 302 tive sleepiness directly, such as asking whether the subjects felt 303 sleepy during the daytime using questionnaires or visual analog 304 scale. Therefore, further studies may help to clarify the correlation be- 305 tween subjective aspects of sleep, such as sleepiness, and brain 306 structure. 307

In conclusion, our brain MRI study demonstrated that the duration 308 of sleep during weekdays was significantly positively correlated with 309 the regional gray matter volume of the bilateral hippocampus, sug- 310 gesting that sufficient sleep has a beneficial effect on the hippocam- 311 pus. These findings advance our understanding of the importance of 312 sleep habits in the daily lives of children. 313

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